

## 6 Heegaard Splitting

We will first show that *every (!!!)* compact 3-manifold can be decomposed into two handlebodies (see below) along a surface. This will have immediate consequences on  $\pi_1(M)$ , but is not at all canonical like the splittings that will follow.

**Definition 6.1.** A *handlebody*  $H$  is a 3-manifold (with boundary) that has a splitting with one vertex space which is a 3-ball  $\mathbb{D}^3$ , and whose edge spaces are proper disks  $\mathbb{D}^2$  so that the attaching maps are embeddings  $\mathbb{D}^2 \hookrightarrow \partial\mathbb{D}^3$  with disjoint image. The number of edges is the *number of handles* (or the *genus* of the handlebody). See Figure 7

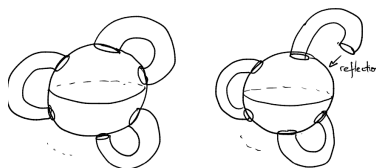


Figure 7: The oriented and unoriented handlebodies

**Remark 6.2.** Note that the genus of the handlebody is the genus  $g(\partial H)$  of  $\partial H$ , where the genus of a closed (orientable or non-orientable) surface  $F$  is defined by  $g(F) = 1 - \frac{1}{2}\chi(F)$ .

**Exercise 6.3.** Show that up to homeomorphism a handlebody is determined by its genus and orientation.

**Exercise 6.4.** Show that if  $S$  is a surface with boundary, then  $S \times I$  is a handlebody, and  $S \times I$  is orientable if and only if  $S$  is.

**Definition 6.5.** A *Heegaard splitting* of a closed 3-manifold, is a splitting along a surface  $S$  into two handlebodies. In other words, there are two handlebodies  $H_1, H_2$  in  $M$  embedded in  $M$  so that  $M = H_1 \cup H_2$  and  $\partial H_1 = \partial H_2 = H_1 \cap H_2$ .

**Exercise 6.6.** Show that every 3-manifold (with boundary) which has a splitting along proper disks into 3-balls is a handlebody.

**Exercise 6.7.** Show that every 3-manifold has a Heegaard splitting. Moreover, show that the manifold is orientable if and only if each of the handlebodies is. Hint: Let  $\Sigma$  be a triangulation of  $M$ , consider the neighborhoods of the 1-skeleton of  $\Sigma$ , and the 1-skeleton of  $\Sigma^1$ .

**Exercise 6.8.** Find a Heegaard splitting of  $\mathbb{S}^3$  for each genus  $g$ .  
Find a Heegaard splitting of  $\mathbb{P}^3$ .

@ Heegaard diagram

**Exercise 6.9.** Assume  $M$  is a closed (say, orientable) 3-manifold, and  $M = H_1 \cup H_2$  is a Heegaard splitting and each of  $H_i$  has  $n$ -handles. Show that its fundamental group has a balanced presentation, i.e., a presentation  $\pi_1(M) = \langle x_1, \dots, x_n \mid r_1, \dots, r_n \rangle$  where the number of generators equals the number of relations.

The last exercise shows for example that  $\mathbb{Z}^n$  for  $n \geq 4$  and  $(C_2)^m$  for  $m \geq 2$  cannot be the fundamental group of a closed 3-manifold. (Compare this to the fact that every finitely presented group is the fundamental of a closed 4-manifold)

The Heegaard splitting has two major flaws: it is not canonical, and it is not  $\pi_1$ -injective.

@ Alexander's and Waldhausen's Theorem.

@ Reidemeister-Singer Theorem.

@ Poincaré Conjecture.

## 6.1 Lens space

**Definition 6.10.** A lens space is a 3-manifold with a Heegaard splitting over the torus.

**Exercise 6.11.** For every  $p, q$  such that  $\gcd(p, q) = 1$  The space  $L(p, q)$  is obtained by taking the quotient of  $\mathbb{S}^3 \subset \mathbb{C}^2$  by the cyclic group of order  $p$  generated by the isometry  $(x, y) \mapsto (e^{2\pi i/p}x, e^{2\pi iq/p}y)$ . Show that the space  $L(p, q)$  is a lens space, and that its fundamental group is cyclic of order  $p$ .

@ What is the Heegaard diagram of  $L(p, q)$ ?